

F 0004 – Hiram Walker-Gooderham & Worts fonds

FINDING AID

This fonds primarily contains records created by the Hiram Walker-Gooderham & Worts Ltd. corporation in the course of their business activities, 1928-1971. It is divided into four series. Series I contains a short history of entrepreneur Hiram Walker and his company town of Walkerville, 1858-1958. Series II contains directors' reports and annual reports (1928-1971 with some gaps) produced by Hiram Walker-Gooderham & Worts. Series III contains photocopies of documents produced in connection with Hiram Walker-Gooderham & Worts' 1935-1936 offering of shares on the New York Stock Exchange. Series IV contains a souvenir booklet commemorating the coronation of Queen Elizabeth II in 1953, produced by Gooderham & Worts.

Series I: Hiram Walker and Walkerville

This series a small booklet outlining the history of entrepreneur Hiram Walker, and the community of Walkerville, Ontario he founded and developed.

Files:

1. H.R. Walton, Hiram Walker and Walkerville from 1858, 1958

Series II: Corporate Reports

This series contains directors' reports (including brief financial reports) and annual reports produced by Hiram Walker-Gooderham & Worts Ltd. between 1928 and 1971.

Files:

1. Directors' Reports and Financial Statements, 1928; 1931-1939
2. Annual Reports, 1929-1930; 1940-1947
3. Annual Reports, 1948-1958
4. Annual Reports, 1959-1960; 1969-1971

Series III: U.S. Securities Exchange Commission Documents

This series contains photocopies of documents relating to a 1935-1936 public offering of securities (stocks) in Hiram Walker-Gooderham & Worts Ltd. on the New York Stock Exchange. Included are registration statements and amendments filed pursuant to Regulation C under the Securities Act of 1933. These include reports of offering price, prospectuses, orders, findings, and opinions issued by the Commission, and other formal documents. It presents a thorough picture of the business at the time of offering.

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The original documents are held by the U.S. National Archives, Record Group 266 (Records of the Securities and Exchange Commission, 1933-2001), Series "Registration Statements, Annual Reports, and Related Records Filed under the Securities Act of 1933, 1934-1959," File "SEC Registration Statement 2-1764."

Files:

1. S.E.C. Registration Statement, Nov. 1935
2. S.E.C. Registration – Prospectus, Nov. 1935
3. S.E.C. Registration – Exhibits A-1 to A-7 (Incorporation and By-laws), Nov. 1935
4. S.E.C. Registration – Exhibit B (Indenture), Nov. 1935
5. S.E.C. Registration – Exhibit F (Brokerage Agreement), Oct. 1935
6. S.E.C. Registration – Exhibit G (Opinion of Counsel), Dec. 1935
7. S.E.C. Registration – Exhibits H-1 and H-2 (Debenture terms and specimen), Nov. 1935
8. S.E.C. Registration – Exhibits I-1 to I-8 (Contracts, licences, permits), Nov. 1935
9. S.E.C. Registration – Exhibit K (Manufacturing agreement), Nov. 1935
10. S.E.C. Amended Registration Statement, Dec. 1935
11. S.E.C. Registration – Amended Prospectus, Dec. 1935
12. S.E.C. Registration – Amendments (Signatures; offering date), Dec. 1935
13. S.E.C. Registration – Amendment to Exhibit A-5, Dec. 1935
14. S.E.C. Registration – Amendment to Exhibit B, Dec. 1935
15. S.E.C. Registration – Amendment to Exhibit F, Dec. 1935
16. S.E.C. Registration – Amendment to Exhibit H-1, Dec. 1935
17. S.E.C. Registration – Amendment to Exhibit I, Dec. 1935
18. S.E.C. Registration – Rulings and correspondence, 1935-1936

Series IV: Ephemera

This series contains a commemorative booklet produced by Hiram Walker-Gooderham & Worts, Ltd., celebrating the coronation of Queen Elizabeth II in 1953.

File:

1. Elizabeth II coronation commemorative booklet, 1953